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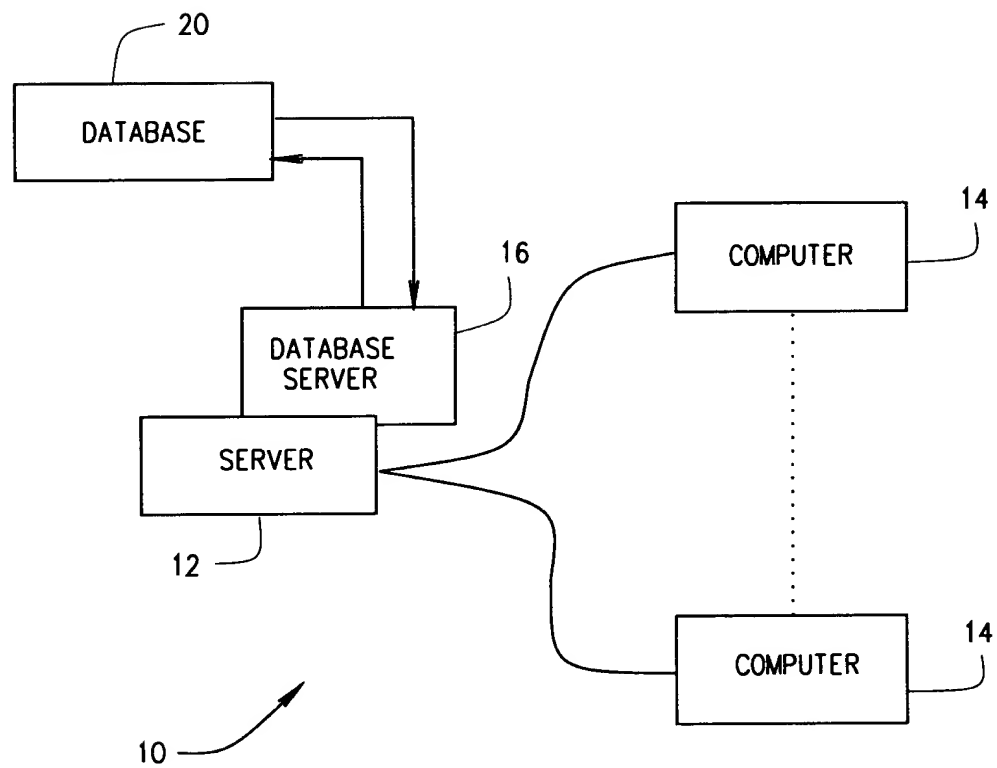


FIG. 1

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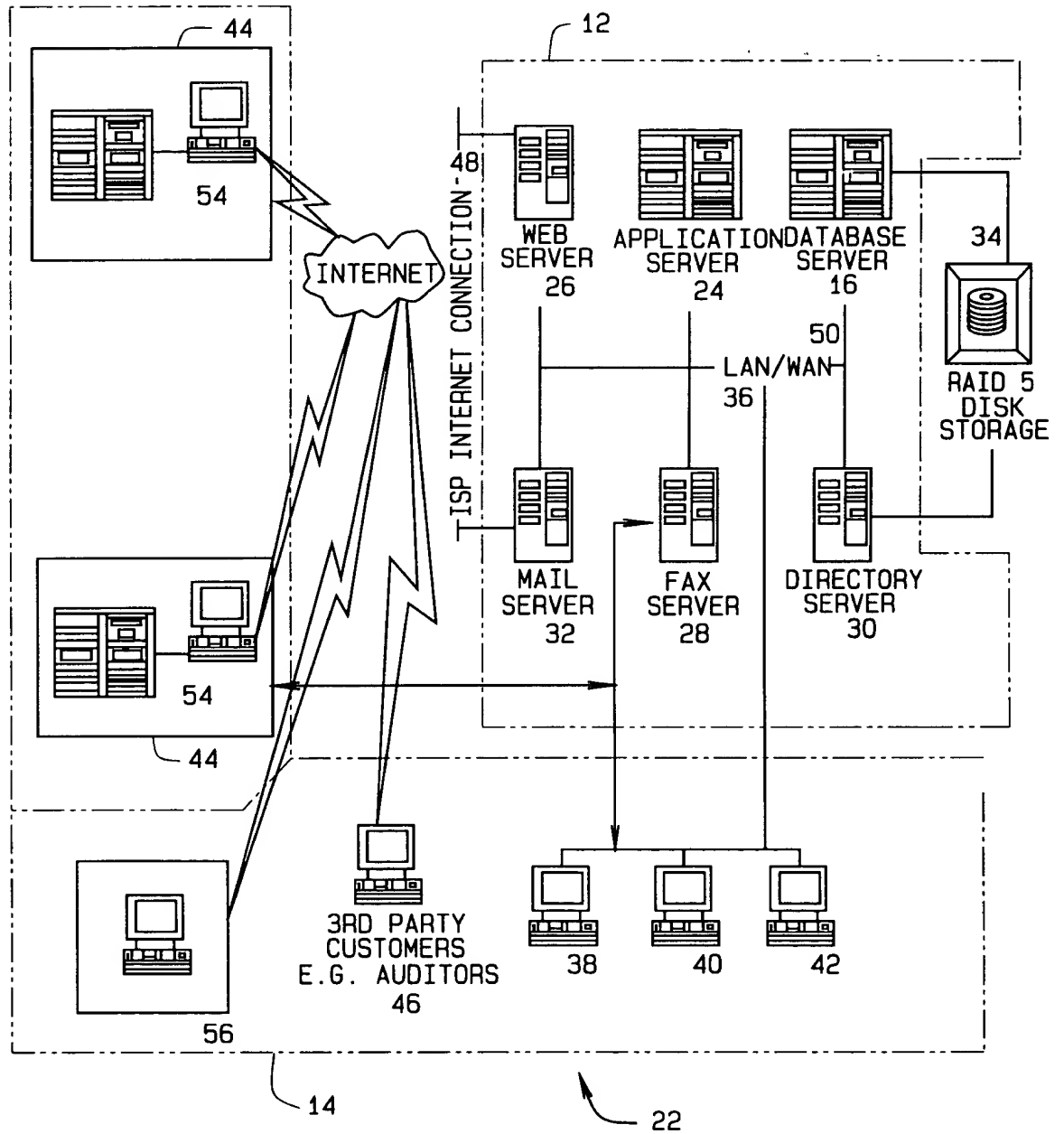


FIG. 2

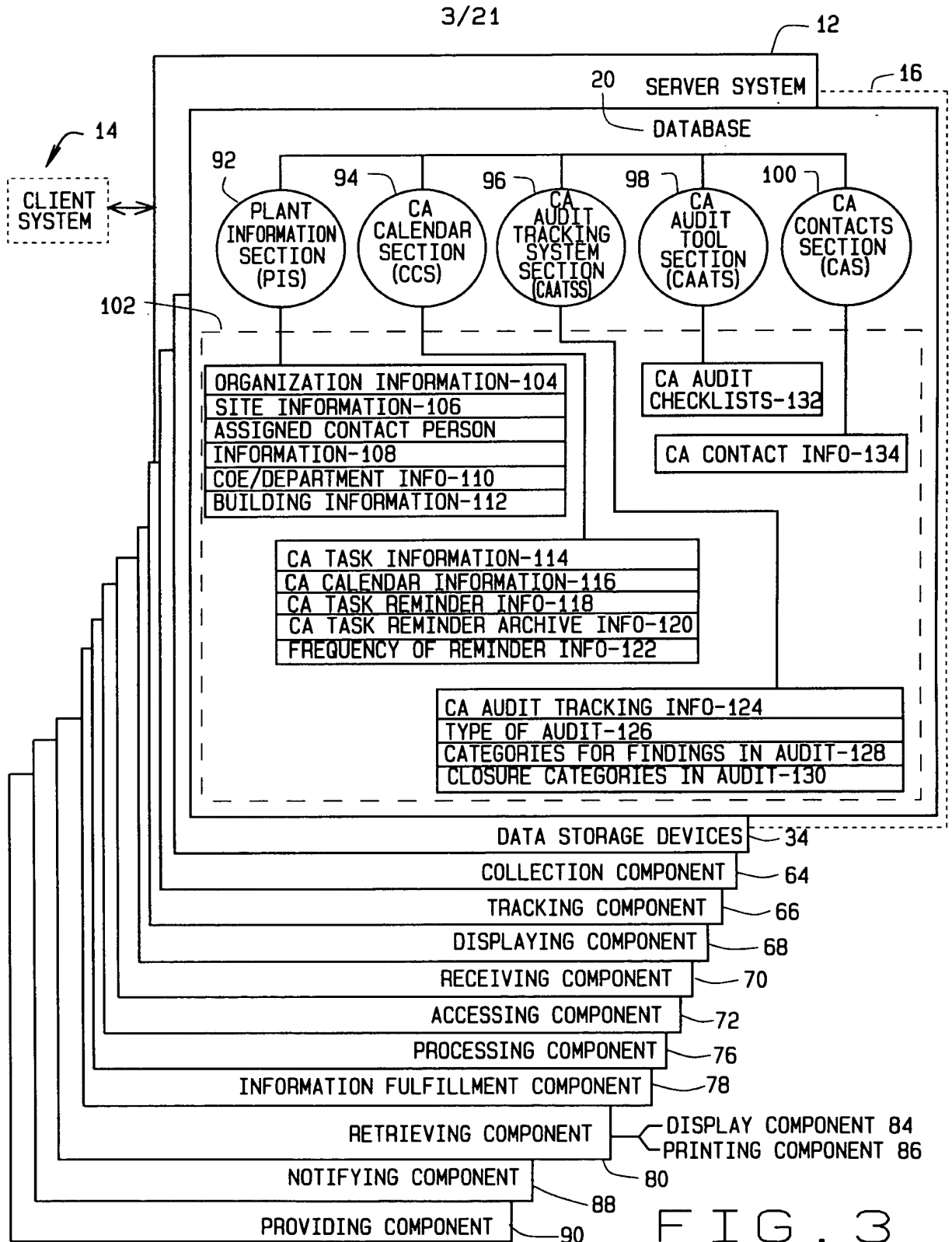
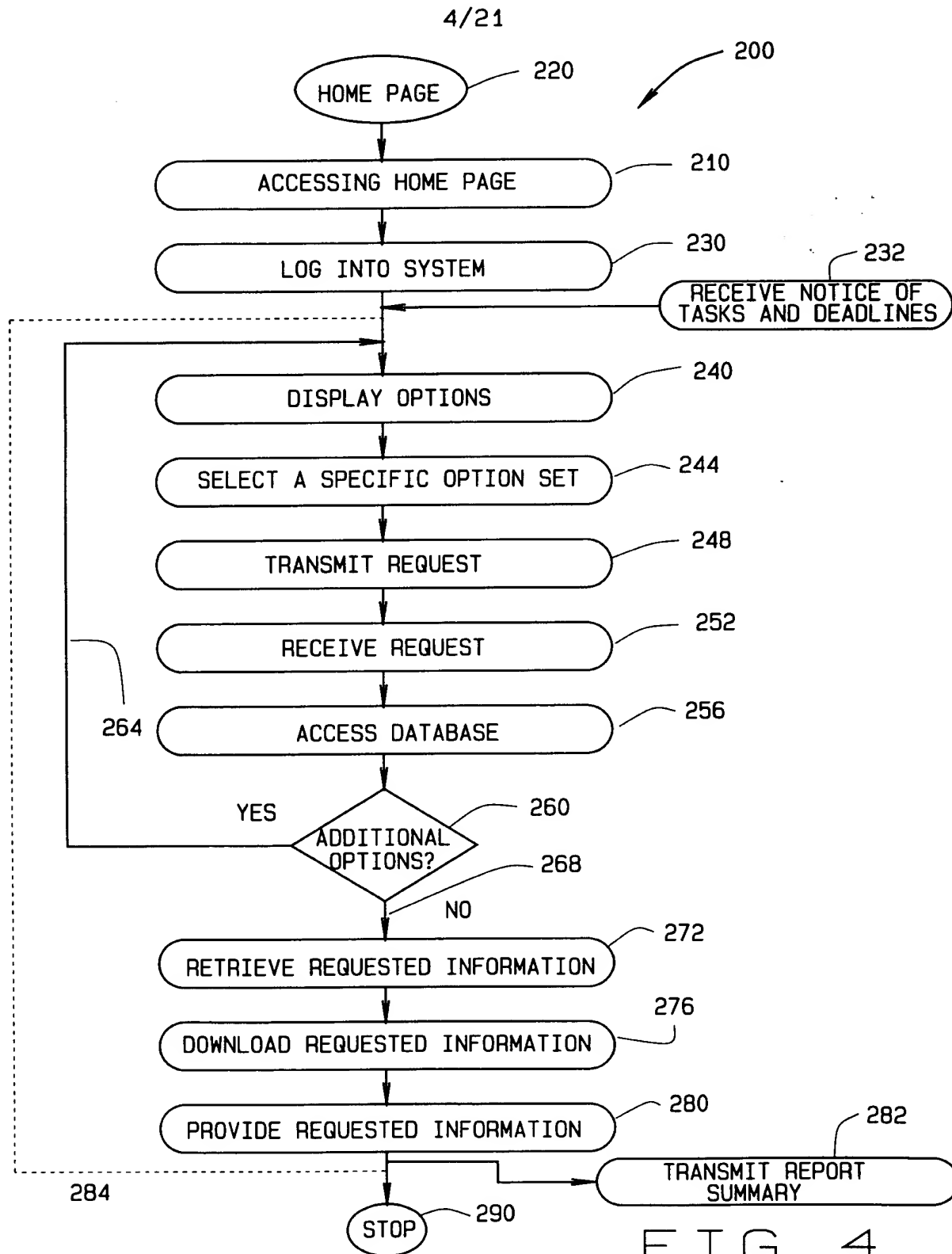


FIG. 3



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300

COMPLIANCE CENTER

PLEASE SELECT AN ORGANIZATION AND SITE TO ACCESS THE TOOLS BELOW. ORGANIZATION
PASSWORD IS REQUIRED TO VIEW INFORMATION. SITE PASSWORD TO MAKE CHANGES TO SITE
DATA. CONTACT YOUR ADMINISTRATOR FROM THE LIST BELOW FOR HELP.
SEE ALSO THE ON-LINE HELP TUTORIAL.

ORGANIZATION:

302

COMPLIANCE CALENDAR

306

SITE:

304

DEMONSTRATION

AUDIT TRACKING SYSTEM

308

EHS SYSTEMS SCORECARD SCORES HELP

EHS MEASUREMENTS REPORTING

INJURY/ILLNESS INITIAL REPORT

312

310

314

CONTACTS HOMEPAGE

AUDIT TOOL

ON-LINE TUTORIAL

HOW DO I...?

FIG. 5

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420 TASK: FIRE EXITS INSPECTIONS

402 TASK DATE: WEDNESDAY, 18 JULY 2001

404 RESPONSIBLE PERSON:

406 TASK CATEGORY: SAFETY AND HEALTH

408 TASK STATUS: O OPEN

410 TASK PLAN: ASSURE INSPECTION BY CONTRACTOR

412 EDIT TASK

REGULATORY OBLIGATION? YES

TASK FREQUENCY: MONTHLY

DAYS PRIOR FOR EMAIL: 15

CC PERSON: NONE

ADDITIONAL CC'S:

[CHANGE STATUS] [CLOSE WINDOW]

400

414

416

418

SAT

7

14

✓ CRIMES ANNUAL 1 DOUGLERO

21

28

ENTRADA A CUBA

FIG. 7



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10/21

550

ADDRESS

THIS IS A SAMPLE COMPLIANCE CENTER EMAIL!
TO: SITE ADMINISTRATOR
CC: OPS MANAGER
WHEN: MONTHLY

COMPLIANCE CALENDAR

CLICK FOR YOUR COMPLIANCE CALENDAR HOMEPAGE

MONTHLY TASK COMPLIANCE STATUS REPORT
DEMONSTRATION SITE
THURSDAY MAR-08-01

552

554

556

558

560

562

564

566

568

RESPONSIBLE PERSON	COMPLETED	TOTAL %	COMPLETE	COMPLETED	TOTAL %	COMPLETED	YTD 2001	TOTAL PAST DUE
1	1	100%	1	1	100%	1	1	0
1	2	50%	6	6	50%	12	12	8 (8)
2	3	67%	7	7	54%	13	13	26 (23)

DEMONSTRATION SITE

NUMBERS SHOWN IN PARENTHESES ABOVE ARE THE NUMBER OF REGULATORY TASKS INCLUDED IN THE TOTAL

CHRONOLOGICAL LIST OF PAST DUE TASKS THROUGH FEBRUARY '01

DATE	TASK	RESPONSIBLE PERSON
------	------	--------------------

FIG. 10

11/21

600

602

624

634

636

ADDRESS:

DEMO HOME COMPLIANCE CENTER T CENTER SAFETY CENTER F CENTER POWER AUDIT TOOL MSDS ON-LINE HELP HOME

AUDIT TRACKING SYSTEM

CLICK TO RETURN TO THE COMPLIANCE CENTER

626 628 630 632

ADD/EDIT FINDING CHARTS REPORTS LOGOFF

TODAY IS: SUNDAY, 15 JULY 2001

SITE ADMINISTRATOR: MGR: OPS MGR:

SELECT CRITERIA BY WHICH TO DISPLAY AUDIT FINDINGS AND CLICK 'SHOW FINDINGS':

STATUS 604 ☐ ALL AUDITS ☐ 610 ☐ ALL ☐ 616

☐ OPEN

☐ CLOSED

☐ ALL 606 ☐ ALL ☐ 612 ☐ ALL ☐ 618

AUDIT NAME CATEGORY

FINDING TYPE REPEAT FINDING?

608 ☐ ALL ☐ 614 ☐ ALL ☐ 620

SEARCH BY AUDIT DATE RANGE

SHOW FINDINGS

FINDING REFERENCE

ALL ☐ 622

SEARCH BY REFERENCE NUMBER

SORT BY ☐ AUDIT TYPE ☐ CENTER/DEPT ☐ BLDG/AREA ☐ RESPONSIBLE PERSON

☐ CATEGORY ☐ ID OF FINDING ☐ DATE ☐ CONTACT PERSON

STATUS SUMMARY:

15 OPEN FINDINGS (6 REGULATORY-8 ITEMS); 15 OPEN FINDINGS PAST CLOSURE DUE DATE (6 REGULATORY-8 ITEMS)

26 FINDINGS YTD (21 REGULATORY-23 ITEMS)

FIG. 11

12/21

650

AUDIT TRACKING SYSTEM

...CLICK TO CLOSE THIS WINDOW SELECT FROM LISTED AUDIT FINDINGS TO EDIT:

652

ADD/EDIT AUDIT FINDING

654

LIST: ☐ OPEN ☐ CLOSED **EDIT**

656

TO ADD A NEW AUDIT FINDING TO THE AUDIT FINDINGS DATABASE, COMPLETE THE FIELDS BELOW AND CLICK ADD FINDING. TO EDIT AN EXISTING AUDIT FINDING, SELECT IT FROM THE PULL-DOWN ABOVE AND CLICK THE EDIT FINDING BUTTON. CLICK **CANCEL** TO CLOSE THIS FORM WITHOUT SAVING CHANGES. NOTE THAT * DENOTES A REQUIRED FIELD.

658

FINDING DATE: * 12 12 (mm/dd/yyyy format)

660

FINDING TYPE: *

662

NUMBER OF ITEMS IN FINDING: * 1

664

AUDIT TYPE: *

666

AUDIT NAME/NUMBER:

668

FINDING CATEGORY: *

670

CENTER/DEPT:

672

BUILDING:

674

WORKSTATION:

676

CONTACT PHONE:

652

REPEAT FINDING? * NO

654

RESPONSIBLE PERSON: *

656

AUDITOR/CONTACT PERSON:

658

SELECT AUDITOR/CONTACT PERSON PROVIDING INFO. ON

660

NOTE: ** NAMES ARE NOT IN THE CONTACTS DATABASE (NO EMAIL ADDRESS); BI-WEEKLY (EVEN WEEKS) E-MAIL IS AUTOMATICALLY SENT TO THE RESPONSIBLE PERSON ONLY IF IN THE CONTACTS DATABASE. NOT IN LIST? JOIN THE DEMO CONTACTS DATABASE

662

CRITICAL FOR NON-COMPLIANCE ITEMS IN REGULATORY FINDINGS

664

AUDIT GROUPS SHOWN FOR REFERENCE IN BLUE>>

666

USE FOR OPTIONAL GROUPING (E.G., JANUARY BUSINESS AUDIT)

668

CATEGORY GROUPS SHOWN FOR REFERENCE IN BLUE>> IF NEEDED, REQUEST BUSINESS ADMIN TO ADD FINDING CATEGORY.

670

CLICK TO ADD/EDIT SITE CENTER/DEPT LIST!

672

CLICK TO ADD/EDIT SITE BUILDINGS LIST!

FIG. 12

13/21

AUDIT TRACKING SYSTEM

...CLICK TO CLOSE THIS WINDOW

CHARTING & ANALYSIS 702

700

1 PICK SCOPE AND DATES

SCOPE:

☒ DEMONSTRATION

☐ NORTH SUBORG

☐

START DATE 07/15/2000

END DATE 07/15/2001

☐ SET TO LAST 4 QUARTERS

☐ SET TO YTD

2 LIMIT FINDINGS AS DESIRED

CATEGORY ALL

CATEGORY GROUP ALL

AUDIT TYPE ALL

AUDIT GROUP ALL

FINDING TYPE ALL

REPEAT FINDINGS ONLY? NO

3 CHART BY ...

☒ CATEGORY

☐ CATEGORY GROUP

☐ AUDIT TYPE

☐ AUDIT GROUP

☐ RESP. PERSON

☐ CENTER/DEPT.

☐ BLOG/AREA

☐ SITE

☐ SUBORGS IN ORG

☐ ORGS IN BUSINESS

4 SELECT CHART STACKING AND SHOW TOP...

STACK BY

☒ DAYS OPEN/TAKEN TO CLOSE

DETERMINES THE STACK BMS FOR EACH CHART COLUMN

SHOW TOP 5

DETERMINES HOW MANY CHART COLUMNS TO SHOW

5 CHECK RESULTS

CUSTOM CHARTING QUICK NOTES CLICK FOR MORE HELP

1 ONLY FINDINGS FOR THE SELECTED SCOPE WILL BE INCLUDED IN THE CHART

2 ONLY FINDINGS LOGGED BETWEEN THE START AND END DATES WILL BE INCLUDED IN THE CHART

3 THESE OPTIONS ARE FILTERS. THEY LIMIT WHICH FINDINGS ARE SHOWN IN THE CHART

4 THE CHART BY COLUMNS ARE YOUR X-AXIS. OR YOUR CHARTING GROUPS. FOR EXAMPLE, "CHART BY CATEGORY" WOULD CREATE A CHART WITH FINDING CATEGORY NAMES AS THE X-AXIS. STACKING OPTIONS REPRESENT YOUR Y-AXIS. OR HOW YOUR COLUMNS ARE COMPARED TO ONE ANOTHER. FOR EXAMPLE, "DAYS OPEN/TAKEN TO CLOSE" COUNTS HOW LONG FINDINGS HAVE BEEN OPEN/TAKEN TO CLOSE. THE SHOW OPTION ALLOWS YOU TO LIMIT THE NUMBER OF COLUMNS DISPLAYED IN THE CHART.

FIG. 13

14/21

750

ADDRESS:

THIS IS A SAMPLE COMPLIANCE CENTER EMAIL!
TO: SITE ADMINISTRATOR
CC: SITE MANAGER, OPS MANAGER AND RESPONSIBLE PERSONS WITH OPEN AUDIT FINDINGS
WHEN: BI-WEEKLY (BY DEFAULT)

AUDIT TRACKING SYSTEM

CLICK FOR YOUR AUDIT TRACKING SYSTEM HOMEPAGE

BI-WEEKLY AUDIT FINDINGS STATUS REPORT
DEMONSTRATION SITE
THURSDAY MAR-08-01

REGULATORY FINDINGS 60-DAY AUDIT CLOSURE RATE

- CURRENT (03/08/01) AUDIT CLOSURE RATE: 30%
- PROJECTED MAXIMUM CLOSURE RATE @ THE END OF THE CURRENT QUARTER: 30%
- (ASSUMING ALL CURRENTLY OPEN ITEMS ARE CLOSED WITHIN 60 DAYS)
- PROJECTED MINIMUM CLOSURE RATE @ THE END OF THE CURRENT QUARTER: 30%
- (ASSUMING NO CURRENTLY OPEN ITEMS ARE CLOSED WITHIN 60 DAYS)
- NUMBERS SHOWN IN PARENTHESES BELOW (IF SHOWN) ARE THE NUMBERS OF INDIVIDUAL ITEMS WHEN DIFFERENT FROM THE NUMBER OF FINDINGS (i.e., FINDINGS WITH MULTIPLE AUDIT FINDING ITEMS CONTAINED WITHIN)

OPEN AUDIT FINDINGS (NO. OF ITEMS)		
	REGULATORY	BMP/OTHER TOTAL
OPEN FINDINGS	15 (18)	8 23 (26)
OPEN PAST DUE FINDINGS*	13 (16)	8 21 (24)
* OPEN PAST DUE = OPEN PAST THE INDIVIDUAL CLOSURE DUE DATE FOR EACH FINDING		

752

756

758

754

OPEN REGULATORY AUDIT FINDINGS (NO. OF ITEMS)
CRITICAL TO CLOSE WITHIN 60 DAYS
BY RESPONSIBLE PERSON

FIG. 14

STIG

STIG

16/21

ADDRESS:

AUDIT TOOL

* ON-LINE HELP
 * AUDIT RESOURCES
 * KEYWORD SEARCH AUDIT GUIDANCE

WELCOME TO THE AUDIT TOOL. USE THIS WEBPAGE TO INITIATE A NEW AUDIT BY CLICKING ANY OF THE MODULE LINKS SHOWN BELOW; YOU MAY WISH TO PRE-SELECT A SCREENED VERSION (AS APPLICABLE) OF THE MASTER CHECKLIST FROM THE CHOICES BELOW. TO RESUME A PREVIOUSLY SAVED AUDIT CHECKLIST CLICK HERE. FOR ASSISTANCE, PLEASE CONTACT THE ADMINISTRATOR AT 856

U.S. ENVIRONMENTAL U.S. HEALTH & SAFETY U.S. DOT U.S. CONSTRUCTION SAFETY U.S. HEALTH & SAFETY SPECIAL INDUSTRIES

858

SELECT APPLICABILITY@MASTER@LABORATORY AREAS@MANUFACTURING/SHOP APPL SERVICING@OFFICE

862

U.S. ENVIRONMENTAL APPLICABILITY

AIR QUALITY- A PERMITTING

AIR QUALITY- B NSPS

AIR QUALITY- C1 NESPIAS (GENERAL HAPS)

AIR QUALITY- C5 NESPIAS (HALOGENATED SOLVENT CLEANING)

AIR QUALITY- C6 NESPIAS (AEROSPACE MANUFACTURING AND REMORKING FACILITIES)

AIR QUALITY- D ODSs

AIR QUALITY- E ACCIDENTAL RELEASE PREVENTION (ARP)

AIR QUALITY- F MISCELLANEOUS

HAZARDOUS MATERIALS- A EPCRA

HAZARDOUS MATERIALS- B UNIFORM FIRE CODE (UFC PART 1)

HAZARDOUS MATERIALS- C UNIFORM FIRE CODE (UFC PART 2)

HAZARDOUS MATERIALS- C RELEASES AND NOTIFICATION

HAZARDOUS WASTE- A GENERATOR REQUIREMENTS

U.S. HEALTH & SAFETY APPLICABILITY

BIOLOGIC PATHOGENS

CHEMICAL EXPOSURES PART A (AIR CONTAMINANTS)

CHEMICAL EXPOSURES PART C (13 CARCINOGENS)

CHEMICAL EXPOSURES PART E (LEAD)

CHEMICAL EXPOSURES PART G (CALCIUM)

CHEMICAL EXPOSURES PART L (ACRYLONITRILE)

CHEMICAL EXPOSURES PART Q (METHYLENE CHLORIDE (MC))

CHEMICAL EXPOSURES PART R (IONIZING RADIATION)

CHEMICAL EXPOSURES PART S (HEALTH KEEPING REQUIREMENTS)

COMPRESSED GAS

CONFINED SPACES

ELECTRICAL

FIRE PROTECTION

ALL CHECKLISTS & ONS

870

FIG. 16

17/21

900

ADDRESS:

CLICK TO RETURN TO THE POWER HOMEPAGE WITHOUT SAVING THIS CHECKLIST
BLOODBORNE PATHOGENS CHECKLIST
MASTER (SCREENED)

(CLICK LINKS BELOW FOR WINDOWS CE VERSIONS OF THIS CHECKLIST)

918 INSTRUCTIONS

- ANSWER EACH QUESTION IN THIS CHECKLIST BY COMPLETELY REVIEWING ALL ITEMS IN EACH QUESTION OR ITS GUIDE NOTE (IF PROVIDED). USE THE UPDATE AND SAVE BUTTON TO SAVE YOUR PARTIALLY OR FULLY ANSWERED CHECKLIST TO THE WEBSITE WITH YOUR OWN SECURE PASSWORD. [CLICK HERE FOR THE DETAILED ONLINE HELP TUTORIAL GUIDE.](#)
- USE THE MAKE REPORT BUTTON FOR A PRINTABLE, ONLINE SUMMARY REPORT OF THE CHECKLIST; AND THE PRINT CHECKLIST BUTTON TO CREATE AN INTEGRATED CHECKLIST CONTAINING AUDIT GUIDANCE TO FACILITATE A FIELD AUDIT.
- REVIEW THE APPLICABILITY AND INTRODUCTION PRIOR TO INITIATING THIS AUDIT CHECKLIST (SEE HYPERLINK BELOW).
- NOTE: THE CHECKLIST ANSWER TEXT AREA IS LIMITED TO 4000 CHARACTERS. IF YOU TYPE MORE THAN THAT, IT WILL BE TRUNCATED ON SUBMISSION OF THE CHECKLIST.

912 UPDATE AND SAVE 914 MAKE REPORT 916 PRINT CHECKLIST **

902 ORGANIZATION: 904 SITE:

LOCATION DETAIL: 908 AUDIT DATE(S):

906 AUDITOR(S) & GENERAL COMMENTS:

910 APPLICABILITY AND RESOURCES

INTRODUCTION | RULEBOOK & AUDIT GUIDANCE | ENFLEX REGULATIONS

RULEBOOK APPLICABLE WHEN:
THIS MODULE APPLIES TO OCCUPATIONAL EXPOSURE TO HUMAN BLOOD OR OTHER POTENTIALLY INFECTIOUS MATERIALS (OPTIM). REGULATION 29 CFR 1910.1030 SPELLS OUT REQUIREMENTS THAT ARE DESIGNED TO PROTECT THE HEALTH OF NEARLY ALL EMPLOYEES AND CONTAINS PROCEDURES THAT CERTAIN EMPLOYERS MUST IMPLEMENT TO PROTECT EMPLOYEES FROM BLOODBORNE PATHOGENS. BLOODBORNE PATHOGENS REQUIREMENTS ALSO APPLY TO LONGSHORING OPERATIONS COVERED IN 29 CFR 1918 AND TO MARINE TERMINAL OPERATIONS COVERED IN 29 CFR 1917.

FIG. 17

18/21

ADDRESS:

BLOODBORNE PATHOGENS

SAVED AUDIT CHECKLIST SUMMARY

HOME PAGE | ON-LINE HELP | COMPLIANCE CENTER

AUDIT: DEMONSTRATION: 2/6/01 (CLICK TO RESUME SAVED CHECKLIST)

AUDIT TEAM: RMUKUND

FINDINGS LAST UPDATE: MARCH 23, 2001: 05:19PM

CHECKLIST PASSWORD: EXAMPLE

SAVED AUDIT CHECKLIST STATUS SUMMARY:

0.0 5.0 10.0 15.0

NOT APPLICABLE 0.0

COMPLIANT 0.0

NON-COMPLIANT 1.0

UNDER EVALUATION 17.0

SAVED AUDIT CHECKLIST STATUS SUMMARY

AUDIT QUESTIONS	NOT APPLICABLE	COMPLIANT	NON-COMPLIANT	UNDER EVALUATION
18	0	0	1 1 NON COMPLIANCE ITEMS * EXPORT TO AUDIT TRACKING SYSTEM ◇ EXPORT TO EXCELL EXPORT RECORD: 2/6/01: 1.1; 3/23/04 (b1a): 1.1; 3/23/01 (b1b): 1.1; 5/28/01 (b1c): 1.1	17 0 IN PROGRESS 17 NOT ANSWERED

PRINT THIS PAGE OR NOTE THE PASSWORD USED TO SECURE THIS SAVED AUDIT CHECKLIST.

TO RESUME WORK, USE THE AUDIT HYPERLINK ABOVE OR THE RESUME PREVIOUSLY SAVED AUDIT CHECKLIST LINK FROM THE HOMEPAGE.

CLICK HERE IF YOU WISH TO CHANGE THE CHECKLIST PASSWORD.

CLICK TO DELETE THIS SAVED CHECKLIST FROM THE POWER DATABASE.

EXCEL DOWNLOAD ALL CHECKLIST QUESTIONS & ANSWERS

FIG. 18

19/21

1002

ADDRESS:

AUDIT TOOL
AUDIT FINDINGS EXPORT

AUDIT TOOL HOME PAGE | DEMO COMPLIANCE CENTER

EXPORTING NON-COMPLIANT FINDINGS FROM THE BLOODBORNE PATHOGENS CHECKLIST
TO THE AUDIT TRACKING SYSTEM FOR:

OQN 1.2 WITH 1 NON-COMPLIANT ITEM ADDED AS A 'REGULATORY', '1', . NO CATEGORY FINDING
FINDING NO: 727 (CLICK TO EDIT)

THE FOLLOWING 1 AUDIT FINDINGS WERE NOT ADDED BECAUSE THEY WERE
EXPORTED PREVIOUSLY:
GNS.1.1

A TOTAL OF 1 AUDIT FINDINGS HAVE BEEN ADDED.
FINDINGS HAVE BEEN SET BY DEFAULT TO A 30-DAY CLOSURE DUE DATE. CONTACT PERSON:
EDIT EACH EXPORTED FINDING USING THE FINDING NO. LINK PROVIDED ABOVE TO
COMPLETE OR VERIFY THE REMAINING INPUTS: FINDING CATEGORY,
CORRECTIVE ACTION, RESPONSIBLE PERSON AND PRIORITY-BASED CLOSURE DATE.

1000

FIG. 19

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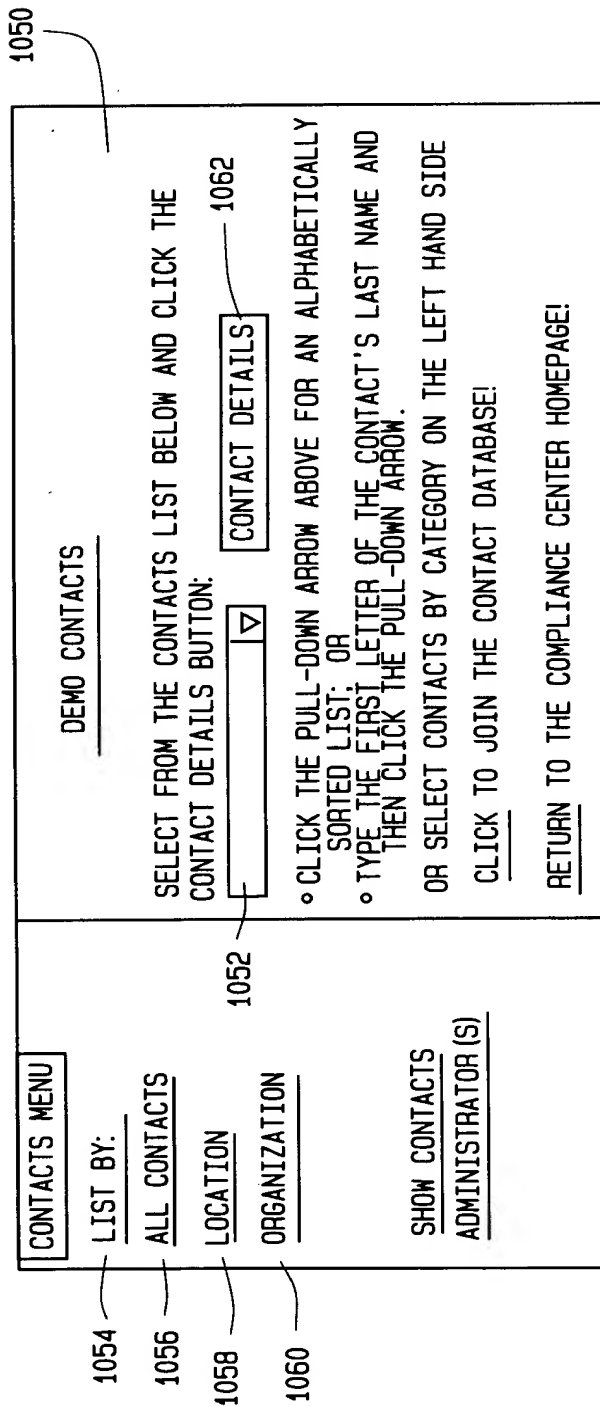


FIG. 20

21/21

1100

CONTACT SIGN UP

PLEASE INPUT YOUR CONTACT INFORMATION AND CLICK THE ADD NEW CONTACT BUTTON AT THE BOTTOM OF THIS FORM. YOU WILL BE IMMEDIATELY ADDED TO THE CONTACTS DATABASE. A COPY OF YOUR SUBMISSION WILL BE PROVIDED TO THE CONTACTS ADMINISTRATOR.

FULL NAME: **GET GAL INFO**
FORMAT: FIRST AND LAST NAME
(e.g., JOHN Q. PERSON)

TITLE:

FIRST NAME: **LAST NAME:** 1120

DIALCOMM PHONE: 8* **EXTERNAL PHONE:** 1122

ORGANIZATION: ☐ **FAX:** 1124
SELECT 'NOT LISTED ABOVE' IF NOT IN LIST!

LOCATION: ☐ **CELL PHONE/PAGER:** 1126
SELECT 'NOT LISTED ABOVE' IF NOT IN LIST!

BUILDING: **HOME PHONE:** 1128
(OPTIONAL)

ADDRESS: **INTERNET EMAIL ADDRESS:** 1130
e.g., MY.NAME@MY.COMPANY.COM

EXPERTISE/RESPONSIBILITY: 1132
(OPTIONAL)

ADD NEW CONTACT **CANCEL**

FIG. 21